

Implementation Guidance: Information Sharing Standards for Crisis Management and Mutual Aid Technology

DRAFT

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1 Overview

1 Mutual Aid and Crisis Management Systems (MACM) in the Emergency Management Enterprise
2 (EME) suffer from a lack of use of interoperability and information exchange standards for
3 system to system interoperability. Information that can be shared directly between systems
4 improves reliability, accountability, speed, and accuracy. Phone calls, radio calls, email, etc.
5 that involve human intervention to transmit and receive information can slow the flow of
6 information and introduce human errors, including mis-transcription, misinterpretation, and
7 delay. Information shared between systems using identical data standards are less prone to
8 these errors and can speed up the flow of information, since it can happen in near real-time.
9 Accurate, reliable, and timely information is critical in emergency management.

10 This document provides a simple guide on choosing the appropriate information standard for a
11 given need, as well as, identify some key aspects for communicating that information between
12 systems. The goal is to help facilitate the selection of a future system that meets the MACM
13 information sharing requirements and improve overall interoperability in the EME.

14 1.1 Recommended Standards

15 The MACM Standards Survey (a comprehensive activity to research best standards to meet
16 MACM requirements) identified a variety of standards from Organization for the Advancement
17 of Structured Information Standards (OASIS) Emergency Data Exchange Language (EDXL) suite
18 of standards that are directly applicable to MACM. These include the following:

- 19 • Common Alerting Protocol (CAP)
- 20 • Hospital Availability Exchange (HAVE)
- 21 • Distribution Element (DE)
- 22 • Resource Management (RM)
- 23 • Situation Report (SitRep).

24 The standards listed above are focused on information exchange for emergency management
25 systems. It is recommended that depending on need, multiple standards would need to be
26 implemented to cover the breadth of information to be shared.

27 An additional standard, the Emergency Management Loose Coupler, from the National
28 Information Exchange Model (NIEM) is recommended for more light-weight information
29 sharing. This standard focuses on the high-level who, what, when, where of information in the
30 EME. It has optional sections for more detailed information about specific types of data.

31 **1.2 Implementation**

32 In addition to providing a single list of applicable standards to MACM, NAPSG seeks to provide
33 first responder decision makers with guidance on how these standards could/should be
34 implemented to improve interoperability, resource management, situational awareness, and
35 crisis management. This guidance discusses the need for Application Programming Interfaces
36 (API), the current modes of network communication, and architectures to cover a full
37 implementation for communication needs. Finally, an appendix is provided with details and
38 samples of what those APIs and architectures might look like to provide additional technical
39 insight.

40 When looking to implement a new software for emergency response use, it is important for the
41 interested party to focus on the high level requirement areas for a particular system so that the
42 software purchased is of long term use to the purchaser. While user interface and functionality
43 requirements are important, the underlying data, how it is stored and shared, provide the true
44 longevity of any system.

45 Areas of true interest in the MACM community focus on data sharing aspects of the system:
46 standards and communication. Standards provide an agreed upon data format that
47 knowledgeable groups have vetted through a series of community involved reviews and can
48 allow systems to speak easily to one another. This reduces the need for “customization” and
49 “development” that will often come as an offer with the particular software or system and in
50 turn reduces cost. The purchaser should ask questions of their needs or systems like: What are
51 we trying to share? Whom are we trying to share data with? What are the major elements of
52 that data that we need to share (incident info, resource info, patient info, etc.)? Once these
53 answers are identified, the purchaser can then run through the easy to follow workflow
54 provided in this guide to identify the best standards to cover their needs. As noted, there is no
55 one-stop-shop standard, so multiple standards likely will apply.

56 Once standards are identified, the purchaser can then turn their attention to the aspect of
57 communication for their system. As noted above, communication when identified as the way
58 systems “speak” to one another, can also limit the amount of customization or cost associated
59 with procuring a particular system. If the purchaser identifies a particular type of
60 communication that surrounding jurisdictions utilize, it would be in their best interest to shop
61 for that same style of communication to ensure interoperability. The purchaser can also use
62 the easy to follow communications workflow included in this guide to determine best
63 communication choice for their software.

64 After running through these activities, the purchaser should be able to identify the types of
65 interoperable elements most important to their system and can request that of software
66 providers. If the proper system/tool doesn’t currently exist, the informed demand by said

67 purchasers should eventually create a market for this to exist. Gone will be the days where
68 systems are stove-piped by proprietary development and expensive support; an ecosystem of
69 strong software choices that boast interoperability will be created.

70 The following is meant as a carefully procured guide to allow and empower the first
71 responder/mutual aid community to start building a strong support system for interoperability
72 of important emergency data.

73 **2 Standards Workflow**

74 The following section describes the decision workflow for choosing the appropriate standard
75 based on need. The intent is to aid the selection of which standards should be used based on
76 what information needs to be shared. The workflow in the figure below is split into two
77 branches based on the type of information needed to be shared. The Situational Awareness
78 branch of the workflow aligns itself to the Situational Awareness Requirements from the NAPSG
79 Standards Survey, while the Resource Management branch aligns itself to the Resource
80 Management Requirements.

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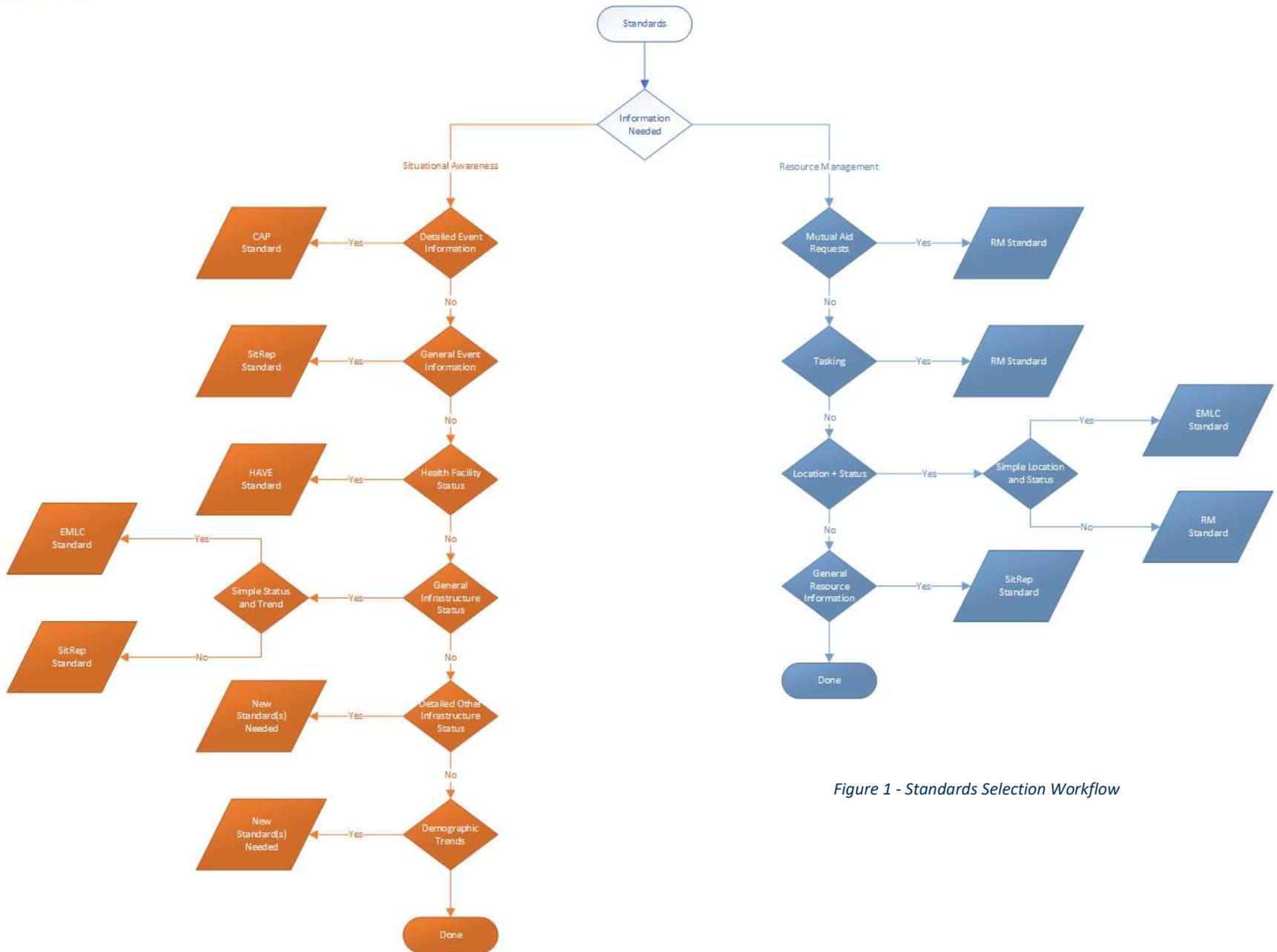


Figure 1 - Standards Selection Workflow

100 **2.1 Situational Awareness Standards**

101 The Situational Awareness workflow branch asks a series of questions about the kind of
102 information needing to be shared. These are loosely based on the NAPSG Situational
103 Awareness requirements. They are broken down in the following table.

104 *Table 1 - Situational Awareness Requirement Association*

General Category	SA Requirements	Workflow Questions
Event Information	Event Scale, Event forecast, Event Magnitude	Detailed Event Information, General Event Information
Infrastructure Status	Critical Infrastructure Impact	Health Facility Status, General Infrastructure Status, Detailed Other Infrastructure Status
Demographics	Demographic Trends	Demographic Trends

105
106 A Yes to the question points in the direction of a recommended standard, while a No simply
107 moves you to the next question. The following table explains the intent of each workflow
108 question.

109 *Table 2 - Situational Awareness Workflow Questions*

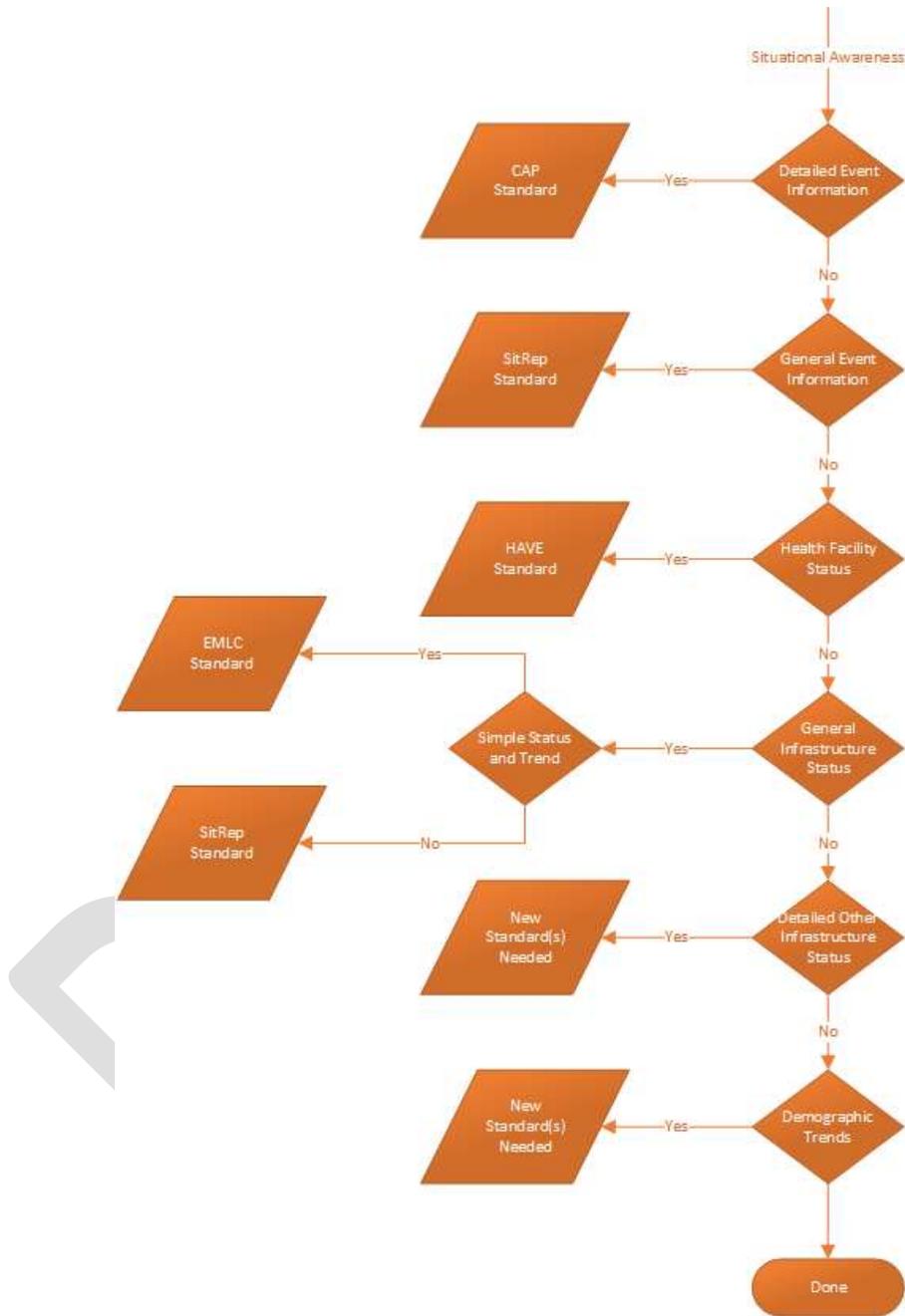
Workflow Question	Explanation
Detailed Event Information	Is detailed information needed about a specific event, including current status and location, future predictions and impact area, and how to respond to a particular event
General Event Information	Is more summary level, current information needed about an event
Health Facility Status	Is detailed information needed about a particular health care facility, including bed status, ER capacity, EMS response availability, etc.

General Infrastructure Status	Is a general status needed for a particular infrastructure facility, such as Power Plant X, or for a general infrastructure category, such as communication.
Detailed Other Infrastructure Status	Is detailed information, similar to the type of information in health facility status, needed for a particular, non-health infrastructure facility
Demographic Trends	Is information needed about demographic trends, or demographic information of an area in general

110

111 The intent of the workflow is to match high-level information sharing needs to a recommended
112 standard as simply as possible. In a few cases, there is no existing standard that meets an
113 information need from the NAPSG Situational Awareness requirements.

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Figure 2 - Situational Awareness Standard Selection

116 **2.1.1 Detail Event Information – EDXL CAP**

117 If detailed event information, such as severity, magnitude, consequences, directed action,
118 location, etc. is required, then the OASIS EDXL Common Alerting Protocol (CAP) standard is
119 recommended. CAP is the standard currently used by the Federal Emergency Management
120 Agency (FEMA) Integrated Public Alert and Warning System (IPAWS) for issuing alerts and
121 warnings around the country. CAP is also used by several other countries, such as Canada and
122 Australia, for issuing alerts and warning.

123 **2.1.2 General Event Information – EDXL SitRep**

124 If more high-level, summary event information is required, then the OASIS EDXL Situational
125 Report (SitRep) standard is recommended.

126 **2.1.3 Health Facility Infrastructure Status – EDXL HAVE**

127 If the status and state of health facilities are required, as part of infrastructure status or not,
128 then the OASIS EDXL Hospital Availability Exchange (HAVE) standard is recommended.

129 **2.1.4 General Infrastructure Status – NIEM EMLC / EDXL SitRep**

130 Currently, the only other standards that support infrastructure status are the SitRep and NIEM
131 Emergency Management Loose Coupler (EMLC) standards. These standards only support
132 general infrastructure status.

133 **2.1.4.1 NIEM EMLC**

134 The EMLC standard supports infrastructure status and trending for individual infrastructure
135 entities, such as a power plant, highway, etc. Each entity is uniquely identified and located in
136 addition to their status.

137 **2.1.4.2 EDXL SitRep**

138 The SitRep standard supports infrastructure status in a general category or capability, such as
139 waterways, telecommunication, sewage, etc. Individual entities are not identified, and trending
140 is not included.

141 **2.1.5 Other Infrastructure Details – New Standards Needed**

142 There are no other standards similar to HAVE that are currently available for the other
143 infrastructure types. New standards will need to be developed to capture detailed information
144 about specific infrastructure capabilities, such as power plants, sewage plants, etc.

145 **2.1.6 Demographic Trends – New Standards Needed**

146 New standards would need to be developed to capture the demographic trending information
147 called in the Situational Awareness Requirements in the standards survey. This information is
148 not currently available in the existing MACM standards.

149 **2.2 Resource Management Standards**

150 The Resource Management workflow branch asks a series of questions about the kind of
151 information needing to be shared. These are loosely based on the NAPSG Resource
152 Management requirements. They are broken down in the following table.

153 *Table 3 - Resource Management Requirement Association*

General Category	SA Requirements	Workflow Questions
Mutual Aid	Resource Kind, Resource Response Availability, Resource Readiness, Deployment Time, Resource Cost	Mutual Aid Request
Tasking	--	Tasking
Current Status	Resource Kind, Resource Response Availability, Resource Readiness	Location + Status
General Status	Resource Kind, Resource Response Availability, Resource Readiness	General Resource Information

154
155 A “Yes” to the question points in the direction of a recommended standard, while a “No” simply
156 moves you to the next question. The following table explains the intent of each workflow
157 question.

158 *Table 4 - Resource Management Workflow Questions*

Workflow Question	Explanation
Mutual Aid Requests	Is a request and response for aid needed, including costing
Tasking	Is the ability to task a responding resource needed
Location + Status	Is the current status and location of a particular resource needed
General Resource Information	Is a general status about a particular responding resource and/or all responding resources

159
160 The intent of the workflow is to match high-level information sharing needs to a recommended
161 standard as simply as possible. In a few cases, there is no existing standard that meets an
162 information need from the NAPSG Situational Awareness requirements.

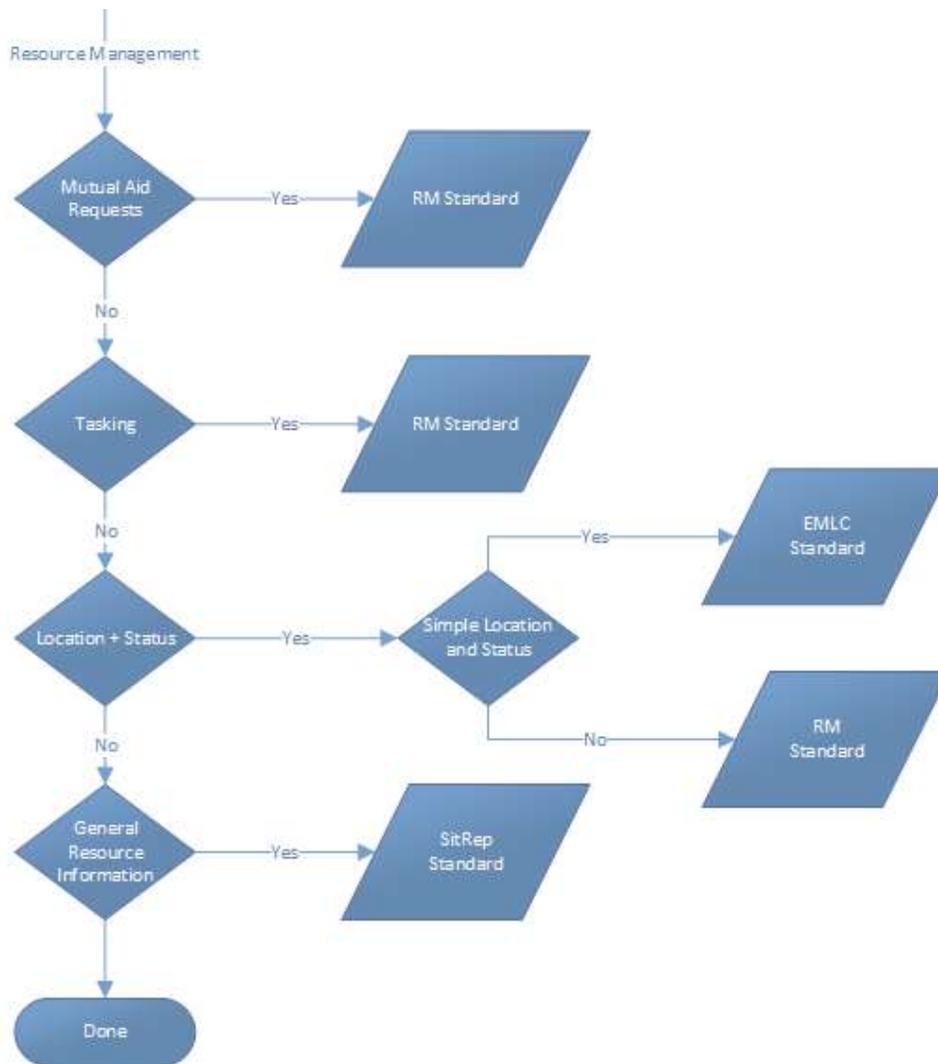


Figure 3 - Resource Management Standard Selection

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165 2.2.1 Mutual Aid Requests – EDXL RM / NIEM EMLC

166 If making mutual aid requests and responses are required, then depending on the duration of
167 the required aid and formality of the aid request either the OASIS EDXL Resource Management
168 (RM) standard or NIEM EMLC standard is recommended.

169 2.2.1.1 NIEM EMLC

170 The NIEM EMLC supports simple mutual aid requests and responses without costing
171 information. This standard is intended for short-term aid requests for an escalating incident
172 most likely from neighboring jurisdictions.

173 2.2.1.2 EDXL RM

174 The EDXL RM supports both long-term and short-term aid requests, including costing
175 information.

176 **2.2.2 Resource Tasking – EDXL RM**

177 If simple resource tasking is required, then the OASIS EDXL RM standard is recommended. RM
178 supports simple text strings to indicate mode of transportation, navigation instructions, and
179 reporting instructions as part of the assignment instructions.

180 **2.2.3 Location + Status – EDXL RM / NIEM EMLC**

181 If resource location and status are required, then depending on the need for real-time accuracy
182 or not, either the EDXL RM standard or NIEM EMLC standard is recommended.

183 2.2.3.1 NIEM EMLC

184 The NIEM EMLC standard is designed to provide light-weight, real-time updates for responder
185 location and status.

186 2.2.3.2 EDXL RM

187 The EDXL RM standard is designed for more periodic or transition updates for responder
188 location and status, such as changes in availability or changes to estimated departure and
189 arrival times.

190 **2.2.4 General Resource Information – EDXL SitRep**

191 If an overview of the resources currently assigned to an incident is required, then the EDXL
192 SitRep standard is recommended. SitRep supports a “Response Resources Totals” report which
193 provides an overview of the current resources, what agencies they work for, what they are
194 assigned to, their status, etc. The SitRep does not provide resource location, unlike the EMLC
195 and RM.

196 **2.3 Areas of Concern/Improvement**

197 **2.3.1 CAP**

198 CAP is missing a few of the key fields called out in the Situational Awareness Requirements¹.

199 These include:

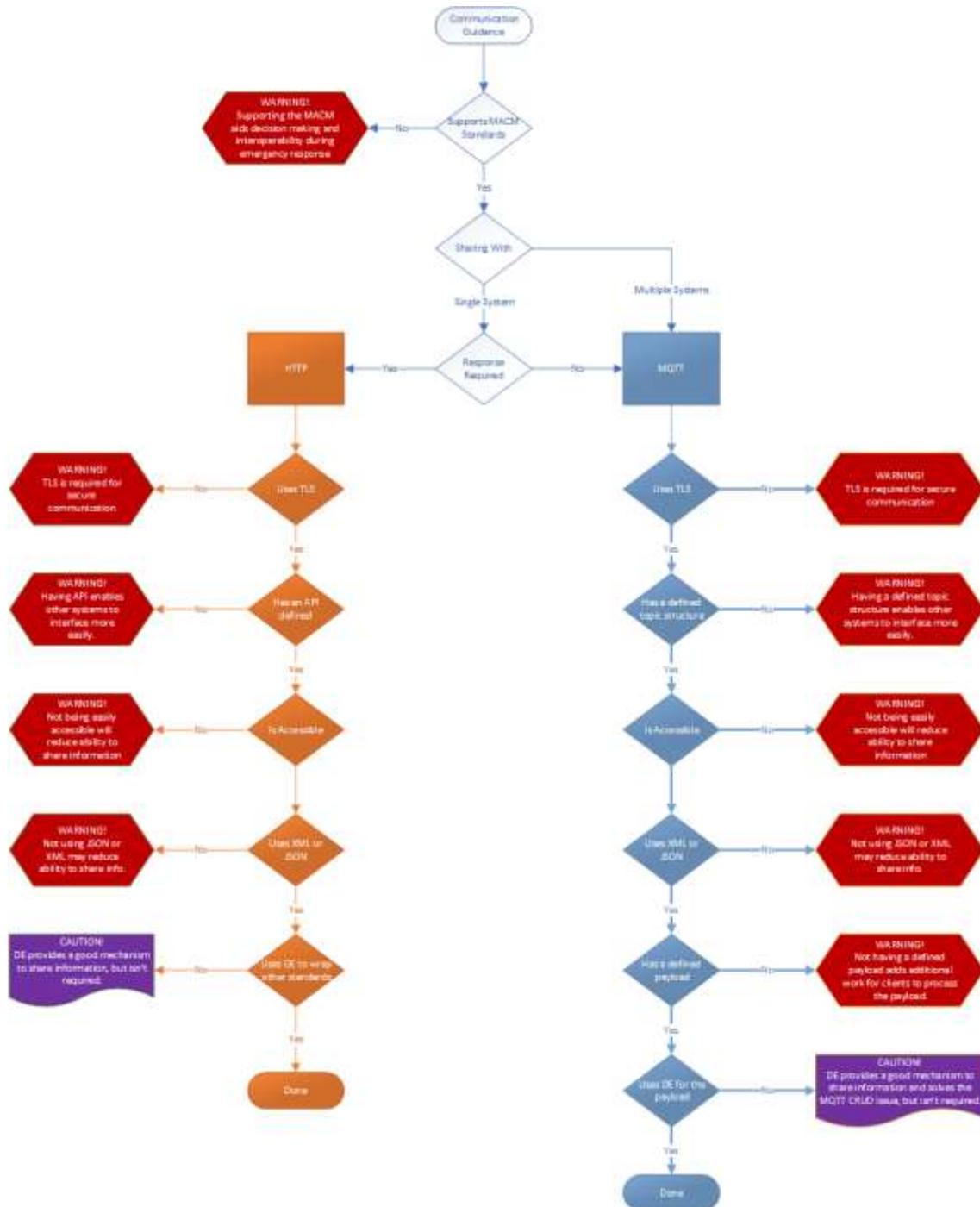
- 200 • extent of the event
- 201 • indirect event consequences

202 CAP has an extension mechanism (through the Parameter fields) that would allow this
203 information to be added. If this mechanism is used, the system API should describe its usage to
204 facilitate other systems' interoperability. Alternatively, CAP could be updated through OASIS to
205 include this type of information.

206 **3 Communication**

207 The following section describes the decision workflow for choosing the appropriate
208 communication methodology between systems based on need. The intent is to aid in the
209 vendor selection process when comparing different systems. The aim is to avoid the stove-
210 piped nature of many of the existing systems in the EME. The workflow in the figure below is
211 split into two branches based on the information needs to be shared. This workflow focuses on
212 the two most popular methods of sharing information between enterprise-level systems over
213 the Transmission Control Protocol and the Internet Protocol (TCP/IP): Hypertext Transfer
214 Protocol (HTTP) or Message Queuing Telemetry Transport (MQTT).

¹ See NAPSG Standards Survey

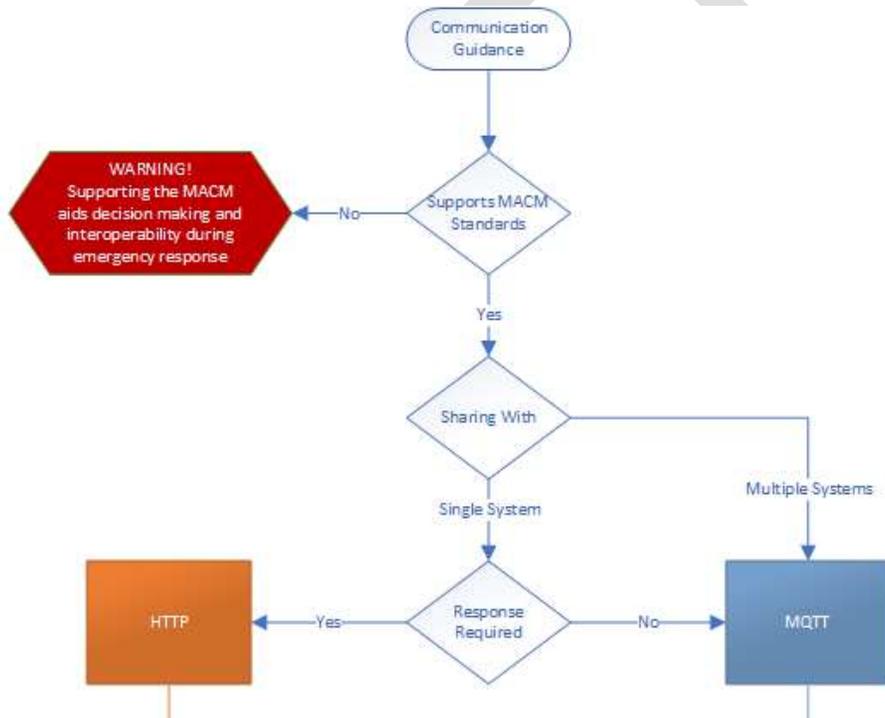


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Figure 4 - Communication Methodology Selection Workflow

217 3.1 General Questions

218 The communication guidance workflow starts with a couple of questions to help determine
219 which of the two most popular communication methods is recommended. However, the main
220 question to ask is whether or not the system in question supports the recommended MACM
221 standard(s) as determined in the standards workflow above. If the system in question does not,
222 that defeats the intent of these documents and guidance, and is strongly advised to avoid.
223 Which method to use is largely dependent on how the information needs to be shared between
224 two systems or multiple systems and whether not a response to a message is required. In some
225 instances, because of business, operational, policy, or technological requirements, a positive
226 response is required for some piece of information being shared, but this is not always the case.



227
228 *Figure 5 - Communication Main Questions*

229 3.2 HTTP Branch Workflow

230 The HTTP workflow branch asks a series of questions about how the information will be shared.
231 They are broken down in the following table.

232

Table 5 – HTTP Workflow Questions

Workflow Questions	Explanation
Uses TLS	TLS stands for Transport Layer Security and is the current cryptographic protocol for securing network traffic while in transit.
Has an API Defined	API stands for Application Programming Interface. It defines the methods and information needed to interface to a system.
Is Accessible	How accessible is the system? Can it be accessed from the internet?
Uses XML or JSON	XML and JSON are two of the most widely used data formats. This is how the information is structured in a message or file.
Uses DE to wrap other standards	Does the system use the OASIS EDXL DE standard to transport information?

233

234 The intent of the workflow is to ensure the HTTP system supports security and enables
235 information sharing.

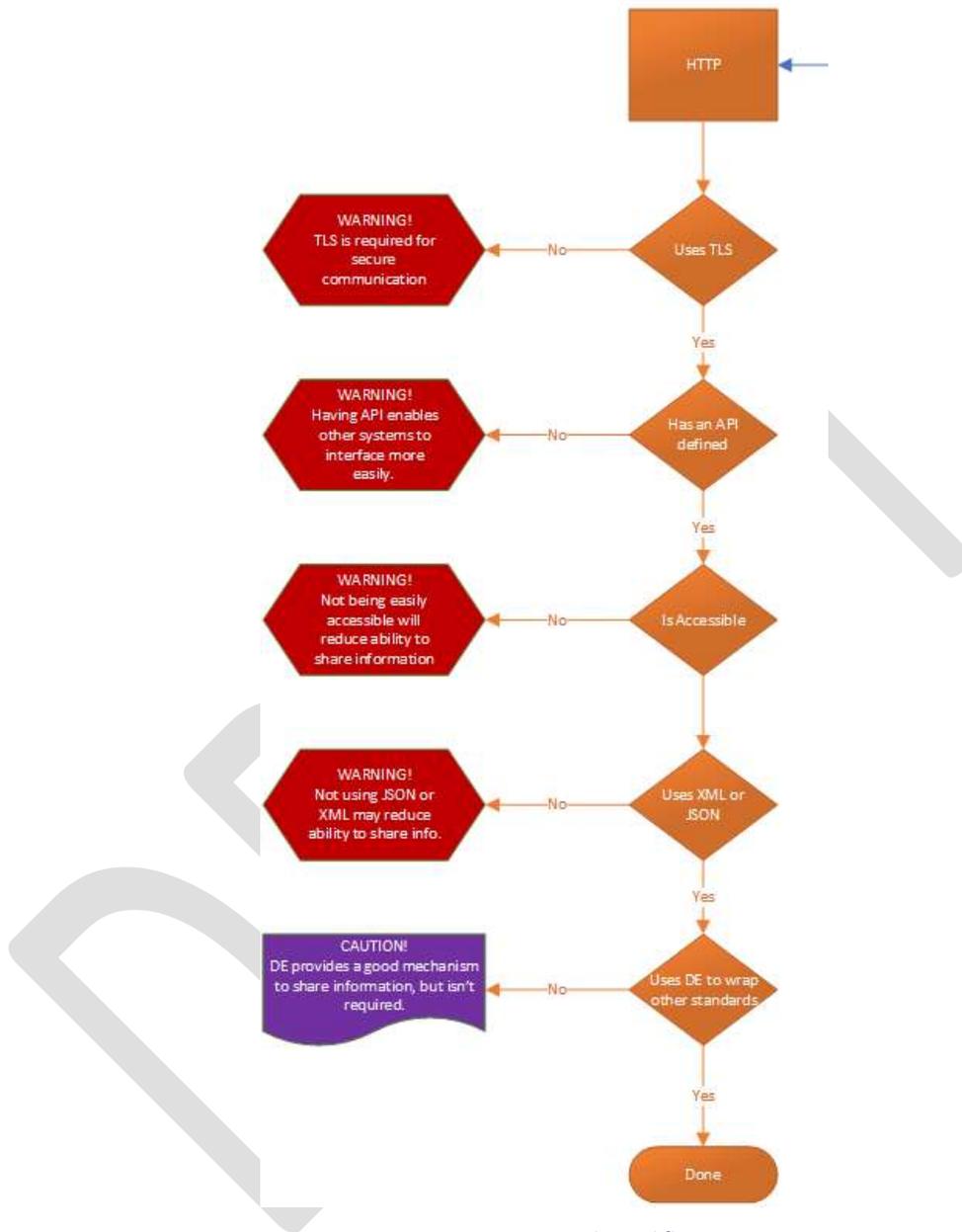


Figure 6 - HTTP Branch Workflow

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238 3.2.1 Uses TLS

239 Using TLS ensures messaging over the network is secure. This is a requirement for sharing
240 information between systems.

241 **3.2.2 Has an API Defined**

242 Having a defined API allows other system vendors to more easily sharing information to and
243 from this system. Without it, other systems may not be able to share information to this
244 system. Certainly, they will not be share information easily, as they may not understand what
245 methods are available for them to use and what information is expected. API's also need to
246 define the security aspects of the system, so other vendors know what methods to use. For
247 example, does the system use username and password authentication or certification
248 authentication or some other mechanism? Does it support single sign on? This information is
249 important to other vendors as they determine how to share information to and from this
250 system.

251 **3.2.3 Is Accessible**

252 How accessible is the system? Can other systems access it from the internet? If a system is
253 behind paywalls, on a private network, or some other hinderance, this will reduce the ability of
254 other systems to share information with it. While a system doesn't have to be on the open
255 internet, it should be accessible from it. For example, a system could be running in a private
256 cloud environment, but still accessible through the general internet. The problem arises if that
257 system requires others to be in the same private cloud environment to access it.

258 **3.2.4 Uses XML or JSON**

259 Does the system support either XML or JSON or both for messaging? Other formats may
260 reduce the ability to easily share information. Most modern systems use either XML or JSON.

261 **3.2.5 Uses DE to wrap other standards**

262 Does the system use the OASIS EDXL DE to transport other information? While this is not a
263 hard requirement, the EDXL DE provides an excellent mechanism to share a wide-variety of
264 information. It acts as a wrapper for other information standards, much like an envelope wraps
265 a letter. A DE-based system would be able to send and receive all of the recommended MACM
266 standards without the need for different endpoints or topics necessarily. This simplifies the
267 overall system to system architecture and would aid in the ability to share a wide array of
268 information. New standards could be added as EDXL DE payloads, supporting new and
269 unexpected CONOPS, without the need to update the interfaces between systems. It is highly
270 recommended that the EDXL DE be used as the primary transportation mechanism.

271 **3.3 MQTT Branch Workflow**

272 The MQTT workflow branch asks a series of questions about how the information will be
273 shared. They are broken down in the following table.

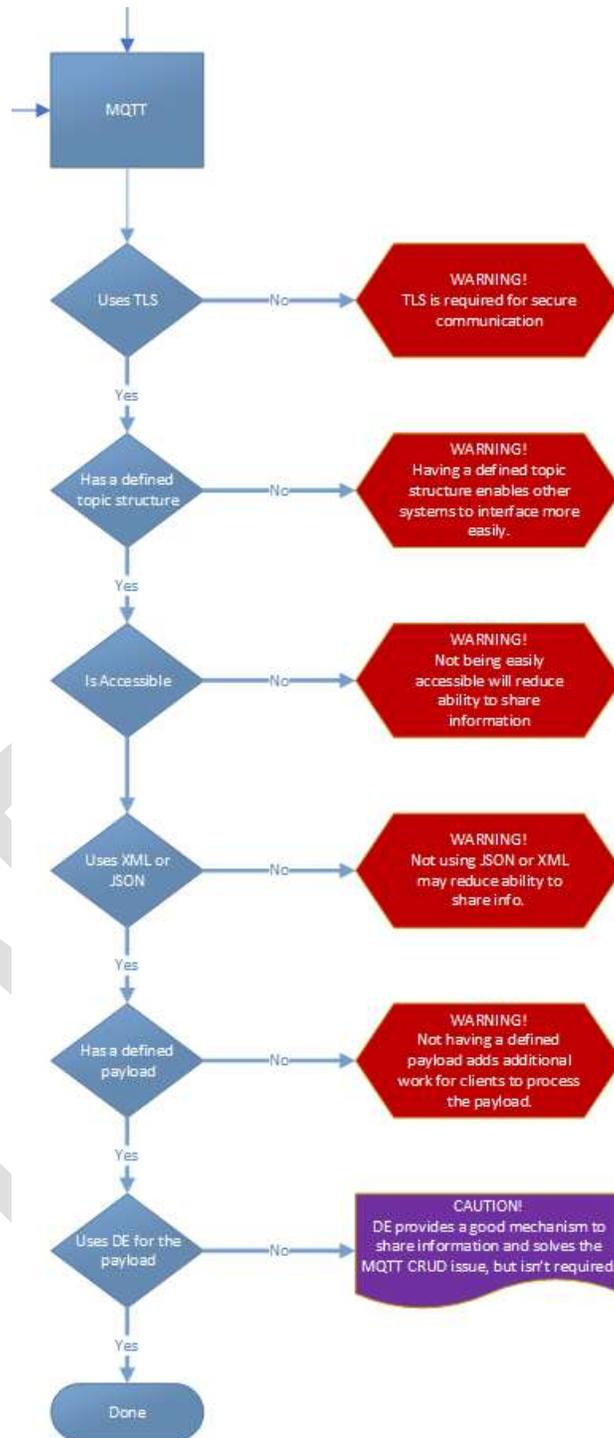
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Table 6 – MQTT Workflow Questions

Workflow Questions	Explanation
Uses TLS	TLS stands for Transport Layer Security and is the current cryptographic protocol for securing network traffic while in transit.
Has a Defined Topic Structure	Is the topic structure defined? Does it have an easy to use template pattern?
Is Accessible	How accessible is the system? Can it be accessed from the internet?
Uses XML or JSON	XML and JSON are two of the most widely used data formats. This is how the information is structured in a message or file.
Has a Defined Payload	Have the payloads been defined, including whether XML or JSON is expected?
Uses DE to wrap other standards	Does the system use the OASIS EDXL DE standard to transport information?

275

276 The intent of the workflow is to ensure the MQTT system supports security and enables
277 information sharing.



278
279

Figure 7 - MQTT Branch Workflow

280 **3.3.1 Uses TLS**

281 Using TLS ensures messaging over the network is secure. This is a requirement for sharing
282 information between systems.

283 **3.3.2 Has a Defined Topic Structure**

284 Topics in MQTT are a way to filter and categorize information. MQTT clients publish
285 information and receive information through these topics. For example, a client might publish
286 resource location on a topic like /<agency>/<unit id>/location, which might look like in real life
287 as /lafd/ra52/location. Other clients would subscribe to the /lafd/ra52/location topic to receive
288 updates for the Los Angeles Fire Department rescue ambulance 52's location.

289 The difficulty in using MQTT topics is they can be organized in a variety of ways and by the
290 client. There is no discoverability mechanism that allows other clients to know what topics are
291 available that they can subscribe to. This makes information sharing more difficult. By defining
292 the topic structure ahead of time as part of an API for the system, other vendors will
293 understand what the expectations of the system are, which improves the information sharing
294 situation.

295 **3.3.3 Is Accessible**

296 How accessible is the system? Can other systems access it from the internet? If a system is
297 behind paywalls, on a private network, or some other hinderance, this will reduce the ability of
298 other systems to share information with it. While a system doesn't have to be on the open
299 internet, it should be accessible from it. For example, a system could be running in a private
300 cloud environment, but still accessible through the general internet. The problem arises if that
301 system requires others to be in the same private cloud environment to access it.

302 **3.3.4 Uses XML or JSON**

303 Does the system support either XML or JSON or both for messaging? Other formats may
304 reduce the ability to easily share information. Most modern systems use either XML or JSON.

305 **3.3.5 Has a Defined Payload**

306 Payloads in MQTT are typically text-based and can be any type of text information. This can
307 make it very difficult for receiving systems (i.e. clients that have subscribed to a topic) for
308 parsing and understanding the information they are receiving. In improve information sharing
309 the system's payload format (XML or JSON) and content format (i.e. what data standard) should
310 be defined as part of the system's API. This will aid other vendors in understanding what to
311 expect and how to parse the information coming from the MQTT server on a given topic. A
312 mapping should be made for each topic in terms of format and content, so it is clear what is
313 expected to be sent and received for each given topic.

314 3.3.6 Uses DE to wrap other standards

315 Does the system use the OASIS EDXL DE to transport other information? While this is not a
316 hard requirement, the EDXL DE provides an excellent mechanism to share a wide-variety of
317 information. In particular, it is well suited as an MQTT payload as it provides a mechanism
318 internally to indict the usual CRUD (Create, Read, Update, Delete), as well as tasking and
319 requests and responses, mechanisms that a lot of systems employ today. It is highly
320 recommended that the EDXL DE be used as the primary transportation mechanism.

321 4 Security

322 Security is an extremely important aspect of information sharing between systems and involves
323 multiple levels. Information should be secured in transit at a minimum and should ideally be
324 secured while at rest. Transport Layer Security (TLS) is a cryptographic protocol to secure
325 messages in transit over a network. It is a staple of secure web-communication. Both HTTP and
326 MQTT support TLS communication in the form of HTTPS and MQTTS and used be used for
327 communication between systems. As an additional layer of security, the message itself can be
328 encrypted on top of TLS. If message encryption is required, this information and methodologies
329 required to encrypt and decrypt the message must be a part of a system's API, so clients can
330 behavior appropriately.

331 In addition to TLC and message encryption, system authentication and authorization
332 requirements need to be considered. Authentication may be as simple as a username and
333 password, or more complicated using client-server certificates. As note, username and
334 password should never be exchanged in plain text and should be obscured/encrypted to secure
335 them. Authorization is granted after authentication has been established and enables role-
336 based permissions. System to system communication offers some unique challenges to
337 authentication and authorization. Users having to enter multiple usernames and passwords to
338 access information can hamper a mission. However, federated authentication and single sign
339 on are not always possible. When possible, the goal of authentication should be to reduce user
340 impact while maintain secure systems and communication. Client-server certificate
341 maintenance and distribution can be challenging. Revoking and issuing new certificates can be
342 time consuming and difficult.

343 While these challenges can be difficult to deal, security needs to be a forefront of system to
344 system communication and access. Message integrity is critical to emergency managers, so
345 they don't question the information being provided. Message provenance is also critical to
346 emergency managers, so they understand the information being provided is from a reliable
347 source. Communication and system security help ensure these things.

348 **5 Mutual Aid Mass Casualty Scenario**

349 Since this document has walked through various decision trees to determine the best
350 applicable standards and further implementation guidance, we thought it pertinent to walk
351 through a “real life” emergency scenario to show how these implementations could improve
352 and enhance the way data is shared in the field. The premise of this scenario is a large-scale
353 traffic accident involving multiple injuries, fatalities, vehicle fires, hazardous material leakage,
354 and multi-jurisdiction response. This scenario will attempt to highlight how each of the
355 identified standards could be used to improve situational awareness and response.

356 **5.1 Setup**

357 A large winter storm is impacting a small mid-west town with blizzard conditions and reported
358 power outages. A regional Emergency Operations Center (EOC) has been activated to monitor
359 and respond to the changing weather conditions. Emergency operations plans are in place to
360 deal with the situation. Reports of a multi-vehicle pileup on the interstate are starting to trickle
361 in. Response to the incident will be coordinated through the EOC.

362 **5.2 Available Data**

363 The EOC situational awareness system is already receiving health facility status (EDXL HAVE
364 reports) from the regions’ hospitals. This information includes bed status, emergency services
365 status, and EMS services status. Additionally, the EOC is receiving real-time local agency CAD
366 information about active incidents, unit status, and unit locations via the NIEM EMLC reports.
367 Blizzard warnings and alerts (EDXL CAP) are being received from the FEMA IPAWS system as the
368 National Weather Service updates them.

369 **5.3 Missing/Future Data**

370 In addition to the HAVE reports, a new type of report dealing with the electric grid would be
371 useful in this situation. This information would include facility id, overall capacity, current
372 status, and expected issue correction estimates. A new type of report dealing with DOT road
373 information would also be useful in the situation. This information should include things like
374 road id, road status, and expected future status.

375 **5.4 Incident Field Data**

376 During the incident, field reports (EDXL SitRep) can be generated from boots on the ground to
377 indicate any immediate needs and initial observations from the scene. This information could
378 also be generated by personnel in the EOC/dispatch from radio reports from the field.

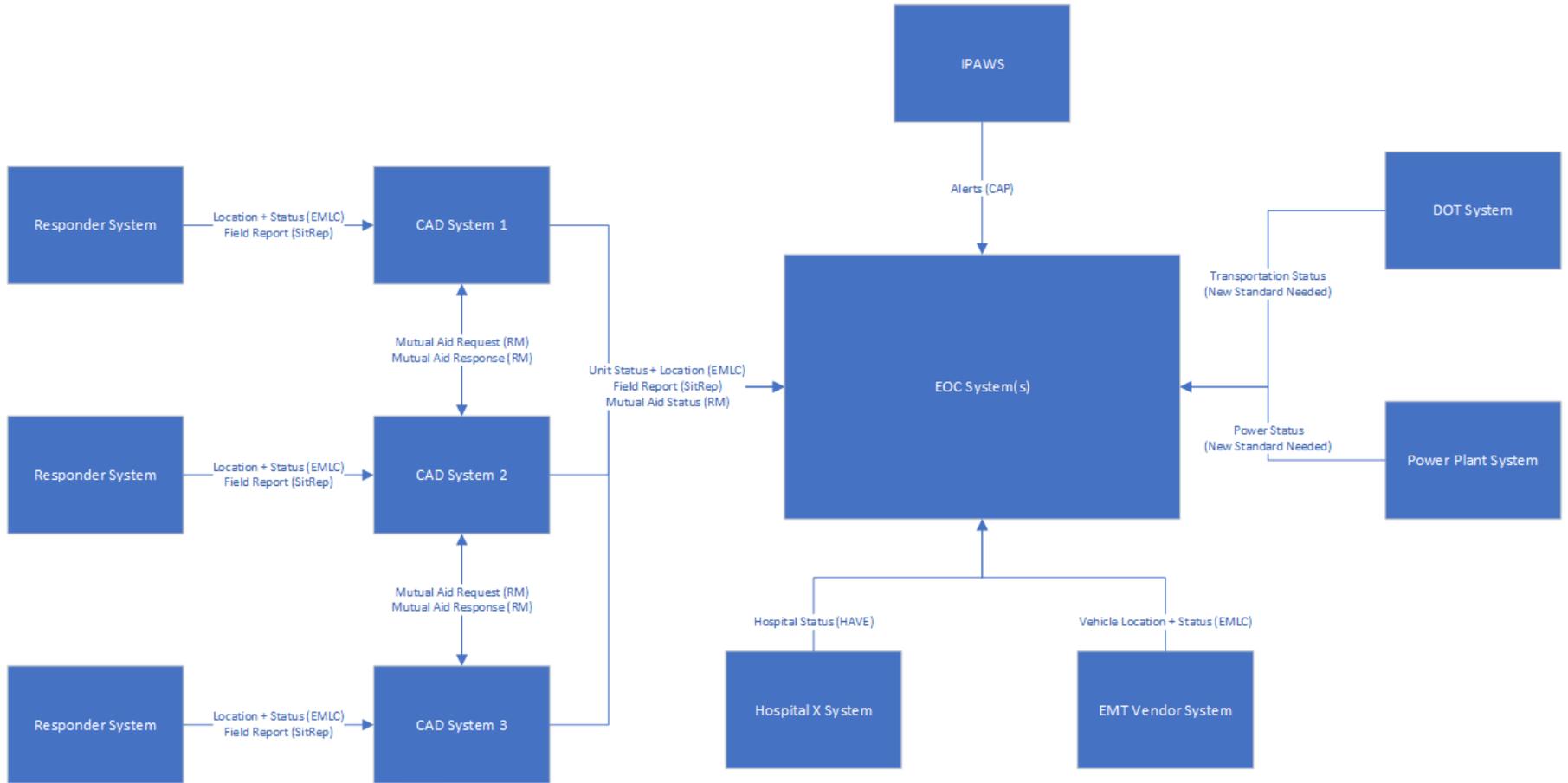
379 Converting this information to SitRep reports allows the information to be shared more easily
380 across systems.

381 **5.5 Mutual Aid Requests**

382 As the incident escalates, both automatic and longer-term mutual aid requests can be made to
383 nearby jurisdictions using EDXL RM. The automatic request would not typically include costing
384 information, but would include unit availability, unit capabilities, unit type, and estimated
385 departure/arrival information. The long-term aid would most likely include costing information
386 as well as the other fields.

387 **5.6 Context Diagram**

388 The following diagram shows what data could be provided by what system and in what format.
389 It is intended to highlight what could be possible when the right standards are used. The
390 communication methods (HTTP or MQTT) will be system dependent and are not displayed.



391
392
393

Figure 8 - Scenario Standards Overview

394 **6 Appendix**

395 This section contains more detailed information on HTTP and MQTT as well as some insights to
396 some of the common issues with both.

397 **6.1 MQTT**

398 One of the challenges of using MQTT to send and receive information is its topic structure and
399 lack of verbs, like HTTP has, to describe what to do with the new information. Depending on
400 the type of information being shared, a delete or cancel operation might be necessary. If this
401 type of information is not embedded in the standard, either a new topic will need to be used or
402 the message payload will need to account for this operation. MQTT is a completely different
403 technology and architecture from HTTP is not a 1:1 replacement of it. It is designed for light-
404 weight messaging and rapid distribution to multiple clients.

405 **6.1.1 Topics**

406 Topics in MQTT are freeform but follow a hierarchical structure. Meaning a topic can be
407 created about anything but typically follow a logical pattern. For example, if you had a home
408 security system using MQTT where each security sensor reported its information to the MQTT
409 message broker, you might have a topic structure that format like this: /home, home/<room>/,
410 home/<room>/<sensor type>. <Room> and <sensor type> are template placeholders for actual
411 data from a house. A real topic example for a house might look like this:

412 /home
413 /home/living room/
414 /home/living room/motion detector
415 /home/living room/smoke detector
416 /home/living room/window alarm
417 /home/mud room/
418 /home/mud room/door alarm
419 /home/kitchen/
420 /home/kitchen/smoke detector
421 /home/kitchen/flammable gas detector
422 Etc....

423
424 An alternative topic structure might be by sensor instead of room: /home/<sensor
425 type>/<room>

426 /home
427 /home/motion detector/
428 /home/motion detector/living room
429 /home/smoke detector/

430 /home/smoke detector/living room
431 /home/smoke detector/kitchen
432 /home/window alarm/
433 /home/window alarm/living room
434 /home/door alarm/
435 /home/door alarm/mud room
436 /home/flammable gas detector/
437 /home/flammable gas detector/kitchen
438 Etc....

439 Either template is fine and works. This flexibility is great for using MQTT internally for a single
440 system with multiple clients. However, this flexibility is very challenging when trying to use
441 MQTT to connect external systems together. Part of an MQTT API must detail the what the
442 topic structure is and how it is expected to be used. Without this, it will be very difficult for an
443 external system to know what it can subscribe to for topics and what it can publish to for topics.

444 **6.1.2 Topic Discoverability**

445 Additional challenge for MQTT is that topics are not necessarily discoverable. It is completely
446 API dependent. There is no native way to discover all of the topics in use on a given MQTT
447 message broker. This is a significant challenge for the MACM domain where new topics could
448 be easily added on the fly, and downstream (listening) clients would not know there is a new
449 topic to listen to. If the system does not allow new topics to be added on the fly, this is not an
450 issue. However, in a large-scale event, there may be a need to add new topics to organization
451 information in new ways. One potential solution around this issue is support a well-known
452 topic, that would be used by every MQTT MACM system, a topic like “/topics”. An MQTT client
453 to an MACM system would know it could subscribe to this topic and receive the information
454 about the current topics. The MQTT broker would need to be setup so any client subscribing to
455 this topic would receive the full history on this topic. When the MQTT broker is started, a
456 “master” client of the system would connect and publish the list of available/default topics to
457 this topic. Once a new client subscribed to this topic, the existing topic information would be
458 pushed to it. This information needs to be more than just a list of topic strings, it should also
459 include the payload format, and payload content for each topic. The payload for the “/topics”
460 topic would be a simple JSON object that contains the remaining topic information. It might
461 look something like this:

```
462 {  
463     topics: [  
464         {  
465             topic:"/content/have",  
466             format:"application/json",  
467             content:"urn:oasis:names:tc:emergency:EDXL:DE:1.0"
```

```
468         description:"Hospital Status Updates"
469     },
470     {
471         topic:"/content/rm/request",
472         format:"text/xml",
473         content:"urn:oasis:names:tc:emergency:EDXL:DE:1.0"
474         description:"Resource Management Requests"
475     },
476     {
477         topic:"/content/rm/response",
478         format:"text/xml",
479         content:"urn:oasis:names:tc:emergency:EDXL:DE:1.0"
480         description:"Resource Management Responses"
481     }
482 ]
483 }
484 }
```

485 A structure like this would enable a client to understand what topics are available, what the
486 format of the payload is, what standard the payload is using, and a human readable description
487 of the topic. In theory, a client could publish information about a new topic, before publishing
488 information to the new topic. This would update all other clients that a new topic is available
489 and allow them to subscribe to it to receive new information.

490 The “topic” field would be a simple string containing the topic. The format field should be
491 limited to the media type for XML and JSON: text/xml and application/json respectively. The
492 content field should either be a publish code list representing the different MACM standards or
493 could simply be the namespace associated to the top-level element in the standard. The
494 advantage to the second option is an external list would not need to be created.

495 **6.1.3 Payloads**

496 As with topics, MQTT payloads are designed to be flexible in nature. The MQTT payload
497 represents the dynamic part of an MQTT message and can be information that can be encoded
498 into bytes, up to 256MB in size total. This is typically in the form of text, and is often JSON, but
499 could be XML, CVS, ASCII, etc. There is control field to indicate what the format of the payload
500 is. This means there needs to be an agreement between the publishers and subscribers on the
501 format of the payload, so in particular a subscriber can digest and understand the payload. For
502 example, if a publisher publishes a payload in XML but the subscriber was expecting JSON, the
503 subscriber will not be able to digest and understand the payload. Additionally, if the publisher
504 publishes Resource Management information, but the subscriber was expecting Situational
505 Awareness information instead, then the subscriber will not able to digest and understand. It is
506 important that these details are spelled out in the MQTT API.

507 6.1.3.1 Payload Operations

508 Additionally, there may be times where some information needs to be deleted or cancelled, such
509 as alert, like a shelter in place warning. Unless this information is embedded in the content of
510 the payload, MQTT doesn't natively provide a mechanism to support this type of operation.
511 Either the topic structure will need to account for this type of operation or the payload itself
512 will need to contain the operation. Fortunately, some standards such as CAP and DE already
513 support this type of operation within their data structures. Depending on the standards
514 transmitted in the payload, it may be necessary to define a new payload structure to account
515 for these operations (if needed) or adopt a topic structure that will support these operations.
516 See the Appendix for more discussion on MQTT payload recommendations.

517 6.2 HTTP

518 Most modern web-based systems have adopted REST as their architecture. Consequently, the
519 API guidance here will focus on a REST implementation. HTTP messages have two parts: the
520 header and the body. The HEADER contains the HTTP operation, authentication information,
521 media-type, etc., which helps the server determine what to do with the client's request. The
522 BODY (if present) contains the shared information. Unlike MQTT, HTTP has a well-known, well-
523 defined set of operations for handling HTTP requests. These operations are generally defined as
524 follows:

- 525 • GET – retrieves information from the system
- 526 • POST – adds new information to the system
- 527 • PUT – wholesale updates (replaces) existing information in the system
- 528 • DELETE – removes information from the system
- 529 • PATCH – partially updates (updates portions of) existing information in the system

530 These operations allow for a variety of actions to be taken. A HTTP API should attempt to
531 practice high cohesion, so these operations perform as expected. It is not uncommon for a
532 POST operation to be overloaded so both additions and updates are performed. This should be
533 avoided, as it can add confusion on the intent of the operations.

534 6.2.1 REST

535 REST focuses on resources that are available in the system. An example for a mutual aid
536 domain might be alerts. A REST-based API would describe the URL endpoints of that system
537 that would allow for alerts to be created, updated, deleted, retrieved, and patched. These
538 might look something like this, with the HTTP operation in the HEADER ...

539 GET - <https://some.server.com/alerts> - retrieves all available alerts

540 POST - <https://some.server.com/alerts> - creates a new alert

541 DELETE - <https://some.server.com/alerts> - deletes all available alerts

542 GET - <https://some.server.com/alerts/<some alert id>> - retrieves a specific alert

543 PUT - <https://some.server.com/alerts/<some alert id>> - updates a specific alert

544 PATCH - <https://some.server.com/alerts/<some alert id>> - patches a specific alert

545 DELETE - <https://some.server.com/alerts/<some alert id>> - deletes a specific alert

546 Like MQTT's topic structure, REST endpoints can be very flexible, and it can be difficult to
547 organize an API in a meaningful way. There have been several attempts in the past to describe
548 a RESTful API in a machine-readable way, but there has been no consensus on a single
549 approach. Consequently, this makes discovering RESTful endpoints very difficult if not
550 impossible. Unlike MQTT, HTTP clients can not create new endpoints, so the need to allow for
551 discoverability is reduced. Proper API documentation should suffice.

552 6.2.1.1 DE Distribution Type and HTTP Verbs

553 The three DE distribution types provide an opportunity to setup a HTTP server in one of two
554 ways. A simplified endpoint structure can be provided that simply supports two HTTP verbs,
555 GET and POST. In this instance, the POST endpoint takes a DE and relies on the Distribution
556 Type to determine how the DE message and content is handled. The alternative is a more
557 RESTful HTTP server that supports GET, POST, PUT, and DELETE, where the POST, PUT, and
558 DELETE endpoints are expected to receive a DE with the corresponding Distribution Type
559 (Report, Update, Cancel).

560